

Anti-money laundering and financial crime conference 2014

*Stepping stones to
major change*

Thursday 20 November 2014
The Law Society

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Overview

Major changes in financial crime and anti-money laundering (AML) compliance lie ahead in the coming years. The 4th EU Money Laundering Directive and resultant update of the UK regulations will have a significant impact on the legal profession. Solicitors will also need to be ready to deal with new domestic rules on ultimate beneficial ownership information and new organised crime offences. Compliance activities will come under scrutiny in the SRA thematic review of AML systems and the forthcoming FATF Mutual Evaluation Review of the effectiveness of the UK's AML regime. All of these developments will have substantial implications for solicitors and the way they conduct their business.

Whether your firm is small and UK-focused or large and international these issues will require your organisation's attention and resources in order for you to remain on the right side of the rules.

This conference will ensure that you receive the most up to date information from the people who are developing, implementing and enforcing the law, regulations and guidance by which you must abide.

Why attend?

This is an event for those who want to remain at the forefront of developments in financial crime and AML compliance.

The conference brings together policy makers, law enforcement, regulators, academics and industry experts to look at the changes ahead, current policy development approaches and other hot topics such as cyber crime and the sanctions regime.

Our speakers from government, supervisory and law enforcement agencies will explain the current areas of high risk and the latest criminal methodologies they are aiming to tackle. Tailored breakout sessions will give delegates the opportunity to find out about the implications of issues such as sanctions and cyber crime for their business.

Who should attend?

Managing partners, money laundering reporting officers, COLPs, risk officers, and general counsel from organisations of all sizes who believe that:

- prevention is better than cure
- business planning extends to more than just next week
- information received direct from the source is better than information received third hand.

Programme

08.30 - 09.00	<i>Registration, refreshments and exhibition</i>
09.00 - 09.20	Plenary 1: Welcome and introduction <ul style="list-style-type: none">• Overview of developments in AML and financial crime Speaker: Suzie Ogilvie, chair, The Law Society Money Laundering Task Force and Freshfields Bruckhaus Deringer LLP
09.20 - 10.20	Plenary 2: 4th Money Laundering Directive <ul style="list-style-type: none">• Key areas of 4th Money Laundering Directive affecting solicitors and the Law Society's response• Overview and implications for your business Speakers: Scott Devine, policy adviser - anti-money laundering, The Law Society Kallina Simeonoff, legal officer, European Commission
10.20 - 10.40	<i>Refreshments and exhibition</i>
10.40 - 11.30	Plenary 3: Recent AML cases and law for legal professionals <ul style="list-style-type: none">• Overview of recent case law in AML and financial crime• Implications for solicitors and their AML compliance Speaker: Jonathan Fisher QC, barrister, Devereux Chambers
11.30 - 12.45	Panel discussion: AML issues for legal professionals from perspective of supervisors, law enforcement and government <ul style="list-style-type: none">• 'Professional enablers': The latest government and law enforcement buzz term in financial crime and AML• Exploring the reasons behind the recent government and law enforcement focus on solicitors and what lies ahead Panellists: Steve Wilmott, head of investigations, Solicitors Regulation Authority Jonathan Fisher QC, barrister, Devereux Chambers Donald Toon, director of the economic crime command, National Crime Agency (NCA) Leigh Summers, campaign manager, Office of Serious and Organised Crime and Counter Terrorism, Home Office Moderator: Scott Devine, policy adviser - anti-money laundering, The Law Society
12.45 - 14.00	<i>Lunch, networking and exhibition</i>
14.00 - 14.30	Panel discussion: Serious Crime Bill - implications for the legal sector <ul style="list-style-type: none">• Hear from the drivers behind the drafting of this legislation• The Law Society lobbying response and subsequent amendments Speakers: Satnam Tumani, committee member, The Law Society Money Laundering Task Force and partner, Kirkland and Ellis International LLP Michael Potts, committee member, The Law Society Money Laundering Task Force and Byrne and Partners LLP Caroline Johnson, Strategic Centre for Organised Crime - Pursue, Home Office
14.30 - 15.10	Ultimate beneficial ownership registers question and answer session <ul style="list-style-type: none">• Discussion of the government's corporate transparency drive• How will this register impact on solicitors' practice and on AML compliance in general? Speakers: Joanna Fitch, Corporate Law Reform team, Department for Business Innovation and Skills Amasis Saba, committee member, The Law Society Money Laundering Task Force and Berwin Leighton Paisner LLP
15.10 - 15.35	<i>Refreshments and exhibition</i>
15.35 - 16.20	Forums: Practical issues <p><i>These sessions will look into issues which are subject to many enquiries by our Practice Advice Service and provide an opportunity to speak to experts about common practical situations.</i></p> F1. Cyber crime Speaker: Tim Hill, technology policy adviser, The Law Society
	F2. Sanctions and Politically Exposed Persons (PEPs) Speaker: Emma Oettinger, head of compliance and operations, Sanctions and Illicit Finance Team, HM Treasury Jo Riddick, committee member, The Law Society Money Laundering Task Force and MacFarlanes
	F3: Responding to a financial crime investigation Speaker: DS Martin Baldwin, City of London Police Ross Dixon, committee member, The Law Society Money Laundering Task Force and Hickman and Rose
16.20 - 16.50	Plenary 4: Solicitors Regulation Authority thematic review on anti-money laundering question and answer session <ul style="list-style-type: none">• Find out on what basis the Solicitors Regulation Authority has increased focus and staffing levels in AML compliance• What is going to result from the SRA's AML review? Will solicitors need to make fundamental changes? Speakers: Steve Wilmott, head of investigations, Solicitors Regulation Authority Sam Palmer, manager of Regulatory Management, Solicitors Regulation Authority Moderator: Julia Adams, committee member, The Law Society Money Laundering Task Force and Slaughter and May
16.50 - 17.00	Closing remarks Suzie Ogilvie, chair, The Law Society Money Laundering Task Force and Freshfields Bruckhaus Deringer LLP
17.00 - 18.30	Networking drinks reception

Booking form

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For enquiries, please telephone 020 7316 5700 or email events@lawsociety.org.uk

Online

To register for this event online, please go to www.lawsociety.org.uk/amlconference. Select the event you want to attend and click on the 'book event' button. We recommend you pay online by credit/debit card to guarantee your place at this event immediately. If you choose to pay by cheque, please send payment, with your booking details attached, within 10 days of making the booking.

By Post

To register please complete the form below in CAPITAL LETTERS and return it with a cheque made payable to **The Law Society** to: Events Team, 113 Chancery Lane, London WC2A 1PL, DX 56 Lon/Chancery Ln.

Please note: bookings for this event cannot be taken over the phone.

One name per form please (you may photocopy this form).

Title: _____ First name: _____ Surname: _____

Position: _____

Organisation: _____

Company VAT registration number: _____

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Postcode: _____

Tel: _____ Fax: _____

DX: _____ Email: _____

Registration fees

Section member	£300 + VAT = £360
PC holder	£345 + VAT = £414
Non PC holder	£445 + VAT = £534
PC holder working for NFP	£195 + VAT = £234

Please make cheques payable to the Law Society. For enquiries ring **020 7316 5700** or email events@lawsociety.org.uk

NB: Joining instructions will be sent **by email** two weeks before the event. VAT is charged at 20%.

Access, dietary or other requirements:

Please advise if you have any additional requirements:

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Delegates will be given a list of all delegate names. If you do not want your name to be published on the list, please tick this box

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Terms and Conditions

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2. If you have any special dietary requirements you must give us written notice at least 10 working days before the event and a supplement may be payable for special dietary requests.
3. Payment must be received by the events team prior to the event, if the balance is outstanding we will require credit/debit card details at registration from the delegate. If you require an invoice or VAT receipt this must be requested in writing to: events@lawsociety.org.uk
4. No refunds will be given for cancellations that occur at or less than 10 working days from the event date. If you wish to cancel more than 10 working days before the event, you will be refunded the registration fee less an administration charge of 20%. If you cancel your place prior to payment, you are still required to pay either the 20% administration fee or the full costs dependant on the time of cancellation. If you need to remove a delegate from your booking or cancel it completely please contact the Events team for advice using the contact details below. Please be ready to quote your booking ID and date of booking (these items can be found in your booking confirmation email). Please note that the delegate price may vary depending on the membership of the new delegate.
5. You may substitute a colleague without charge, provided you confirm their full name in writing before the day of the event. If you need to change details of your booking please contact the Events team for advice using the contact details below. Please be ready to quote your booking ID and date of booking (these items can be found in your booking confirmation email).
6. The delegate and the firm/organisation are jointly and severally liable for payment of the fees due.
7. The Law Society can accept no liability for any loss suffered by any person acting or refraining from action as a result of the material delivered during or in connection with the event.
8. Acceptance by the Law Society of your booking will give rise to a legally binding contract between us on these terms and conditions.

If you have any questions about these terms and conditions or wish to amend your booking please contact the Events team for advice on +44 (0)20 7316 5700 between 9am and 5pm, Mon to Fri, or email events@lawsociety.org.uk